



Sector 1/1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Noel Caplice et al.

Art Unit : 1646

Serial No. : 09/843,295

Examiner : Unknown

Filed : April 25, 2001

Title : IMPLANTABLE MEDICAL DEVICES

BOX MISSING PARTS

Commissioner for Patents
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

In response to the Notice to File Missing Parts of Application under 37 CFR §1.53(b) mailed June 28, 2001 (copy enclosed), applicant as a small entity submits herewith the following:

- ☒ Combined Declaration and Power of Attorney in compliance with 37 CFR §1.63 (2 pages);
- ☒ Petition for One-Month Extension of Time (1 page);
- ☒ Check in the amount of \$55 for Extension of Time;
- ☒ Check in the amount of \$671 for basic filing fee, additional claims fee, and missing parts surcharge; and
- ☒ Postcard.

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

September 25, 2001
Date of Deposit

Caryl C. Harriman
Signature

Caryl C. Harriman
Typed or Printed Name of Person Signing Certificate

PART 2 - COPY TO BE RETURNED WITH RESPONSE



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

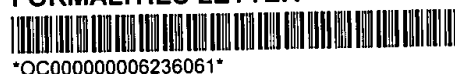
APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/843,295	04/25/2001	Noel Caplice	07039-175001

MARK S. ELLINGER, PH.D.
Fish & Richardson P.C., P.A.
Suite 3300
60 South Sixth Street
Minneapolis, MN 55402



CONFIRMATION NO. 7824

FORMALITIES LETTER



OC000000006236061

Date Mailed: 06/28/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

10/03/2001 SDIRETA1 00000053 061050 09843295

FILED UNDER 37 CFR 1.53(b)

01 FC:201	370.00 OP
02 FC:203	171.00 OP
03 FC:202	84.00 OP
04 FC:205	46.00 OP
19.00 CH	

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$251.
 - \$171 for 19 total claims over 20.
 - \$80 for 2 independent claims over 3.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 671.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

Applicant : Noel Caplice et al.
Serial No. : 09/843,295
Filed : April 25, 2001
Page : 2

Attorney's Docket No.: 07039-175001

It is understood that this perfects the application and no additional papers or filing fees are required. Please apply any additional charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: _____

9/25/01



Monica McCormick Graham, Ph.D.

Reg. No. 42,600

Fish & Richardson P.C., P.A.
60 South Sixth Street
Suite 3300
Minneapolis, MN 55402
Telephone: (612) 335-5070
Facsimile: (612) 288-9696